
Form #E-17

Ethics Activity Report

Boards are encouraged to publish periodic Code of Ethics activity reports. This model is a suggestion, and Boards may choose to provide other relevant information.*

Number of ethics complaints filed from _____ to _____.
Date Date

| | Article(s) of the Code alleged to have been violated | Referred by Grievance Committee for hearing | | Article(s) found by Hearing Panel to have been violated | Appeal of Hearing Panel decision | | Board of Directors final action | Discipline imposed (if any) |
|---------------|--|--|----|---|--|----|---------------------------------------|-----------------------------------|
| | | Yes | No | | Yes | No | | |
| Complaint #1 | | | | | | | | |
| Complaint #2 | | | | | | | | |
| Complaint #3 | | | | | | | | |
| Complaint #4 | | | | | | | | |
| Complaint #5 | | | | | | | | |
| Complaint #6 | | | | | | | | |
| Complaint #7 | | | | | | | | |
| Complaint #8 | | | | | | | | |
| Complaint #9 | | | | | | | | |
| Complaint #10 | | | | | | | | |

*No report shall include the names of individuals or firms.

(Revised 11/00)